Please type a plus sign (+) inside this box————		PTO/SB/05 (11-00 Approved for use through 10/31/2002. OMB 0651-003; U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE							
	persons are required to respond to a collection of information unless it displays a valid OMB control number.								
UTILITY	Attorn	ey Docket No.   102863-2							
PATENT APPLICATION	First Ir	nventor Ronald J. Kolata et al.							
TRANSMITTAL	Title	HEART POSITIONING DEVICE AND METHOD							
(Only for new nonprovisional applications under 37 CFR 1.53(b))	Expres	ss Mail Label No. EL327516621US							
APPLICATION ELEMENTS  See MPEP chapter 600 concerning utility patent application con	itents.	Box Patent Application ADDRESS TO: Commissioner for Patents Washington, DC, 20231							
1 Fee Transmittal Form (e.g., PTO/SB/17)		7 CD-ROM or CD-R in duplicate, large table or							
(Submit an original, and a duplicate for fee processing) Applicant claims small entity status.		Computer Program (Appendix)  Nucleotide and/or Amino Acid Sequence Submission							
See 37 CFR 1.27.	<b>—</b> ],	(if applicable, all necessary)							
3. X Specification [Total Pages 25]	1	a. Computer Readable Form (CRF)							
Descriptive title of the invention     Cross Reference to Related Applications     Statement Regarding Fed sponsored R & D     Reference to sequence listing, a table,     or a computer program listing appendix		b. Specification Sequence Listing on:  i CD-ROM or CD-R (2 copies); or ii paper  c Statements verifying identity of above copies							
Background of the Invention     Brief Summary of the Invention		ACCOMPANYING APPLICATIONS PARTS							
<ul> <li>Brief Description of the Drawings (if filed)</li> <li>Detailed Description</li> </ul>		Assignment Papers (cover sheet & document(s))							
- Claim(s) - Abstract of the Disclosure		10. 37 CFR 3.73(b) Statement (when there is an assignee)							
4. X Drawing(s) (35 U.S.C. 113) [Total Sheets	8 ]	11. English Translation Document (if applicable)							
5. Declaration and Power of Attorney [Total Pages	6 1	12. Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations							
a. X Unexecuted		13. Preliminary Amendment							
b. Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)	14. X Return Receipt Postcard (MPEP 503) (Should be specifically itemized)								
i. DELETION OF INVENTOR(S) Signed statement attached deleting		15. Certified Copy of Priority Document(s) (if foreign priority is claimed)							
inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).		Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.							
	i	17. Other:							
6. X Application Data Sheet. See 37 CFR 1.76	ļ								
18. If a CONTINUING APPLICATION, check appropriate box, and s Data Sheet under 37 CFR 1.76:	upply the i	equisite information below and in a preliminary amendment, or in an Application							
Continuation Divisional Continuation-i	in-part (C	IP) of prior application No.:							
Prior application information: Examiner		Group / Art Unit:							
For CONTINUATION or DIVISIONAL APPS only: The entire di	isclosure	of the prior application, from which an oath or declaration is supplied under ation or divisional application and is hereby incorporated by reference. The							
incorporation can only be relied upon when a portion has been in	nadverte	ntly omitted from the submitted application parts.							
19. CORF	RESPO	NDENCE ADDRESS							
Customer Number or Bar Code Label		or X Correspondence address below							
NUTTER, MCCLENNEN & FISH	I, LLP								
William C. Geary III									

Zip Code 02110-2699

(617) 310-9766

April 30, 2001

44,238

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Signature

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Telephone

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(617) 439-2766

Registration No. (Attorney/Agent)

Boston

PTO/SB/17 (11-00)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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Application Number

## **FEE TRANSMITTAL** for FY 2001

Patent fees are subject to annual revision.

April 30, 2001 Filing Date Ronald J. Kolata et al. First Named Inventor Examiner Name Not Yet Assigned Group Art Unit

Complete if Known

Not Yet Assigned

TOTAL AMOUNT OF PAYMENT   (\$) 10	Attorney Docket No. 102863-2										
METHOD OF PAYMENT			FEE CALCULATION (continued)								
The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:			3. ADDITIONAL FEES								
Deposit Account Number		_	e Entity Small E						Fee		
		Fee Code	Fee (\$)	Fee Code	Fee (\$)		Fee Description		Paid		
Deposit Account Nutter, McClennen & Fish, LLP		105	130	205	65	Surcharg	urcharge late filing fee or oath				
Name		127	50	227	25		Surcharge – late provisional filing fee or cover sheet.				
X Charge Any Additional Applicant claims small entity status. See		139	130	139	130	Non-Eng	lish specifica	ation			
37 CFR 1.16 and 1.17 37 CFR 1.27			2,520	147	2,520	For filing a request for ex parte reexamination					
2. X Payment Enclosed		112	920*	112	920*	Requesti Examine		on of SIR prior to			
X Check Credit Card Money Order	Other	113	1,840*	113	1,840*	Requesti Examine		on of SIR after			
FEE CALCULATION		115	110	215	55	Extensio	n for reply w	ithin first month			
1. BASIC FILING FEE		116	390	216	195	Extension	n for reply w	ithin second month			
Large Entity Small Entity		117	890	217	445	Extension	n for reply w	ithin third month			
Fee Fee Fee Fee Description Fee	Paid	118	1,390	218	695	Extensio	n for reply w	ithin fourth month			
101 710 201 355 Utility filing fee 71	0.00	128	1,890	228	945	Extension	n for reply w	ithin fifth month			
106 320 206 160 Design filing fee		119	310	219	155	Notice of	Appeal				
107 490 207 245 Plant filing fee			310	220	155	Filing a b					
108 710 208 355 Reissue filing fee		121	270	221	135	Request	Request for oral hearing				
114 150 214 75 Provisional filing fee		138	1,510	138	1,510	Petition to	o institute a p	public use proceeding			
SUBTOTAL (1) (\$) 71	0.00	140	110	240	55		o revive – ur				
		141	1,240	241	620	Petition to	o revive - un	intentional			
2. EXTRA CLAIM FEES Extra Fee from below Fee	Paid	142	1,240	242	620	Utility iss	ue fee (or re	issue)			
Total Claims 37 -20** = 17 X 18.00 = 306.00			440	243	220	Design is					
independent 3 -3** = x = x	144	600	244	300	Plant issu						
Multiple Dependent =	122	130	122	130	Petitions to the Commissioner						
		123	50	123	50	Processi	ng fee under	37 CFR 1.17(q)			
Large Entity Small Entity		126	180	126	180			ation Disclosure Stmt			
Fee Fee Fee Fee Fee Description		581	40	581	40	Recording each patent assignment per property (times number of properties)					
103 18 203 9 Claims in excess of 20 102 80 202 40 Independent claims in excess o	f 3	146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))					
104 270 204 135 Multiple dependent claim, if not		149	710	249	355	For each additional invention to be examined (37CFR 1.129(b))					
109 80 209 40 ** Reissue independent claims		179	710	279	355	•		d Examination (RCE)			
over original patent  ** Reissue claims in excess of 2	PO .	169	900	169	900		for expedited in application	d examination n			
110 18 210 9 Reissue ciallis in excess of 2 and over original patent		Other t	Other fee (specify)								
SUBTOTAL (2) (\$) 306.00 *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)  **or number previously paid, if greater, For Reissues, see above								TAL (3) (\$)	0.00		
SURMITTED RY Complete (if applicable)											
Name (print/type) Lisa J. Michaud	Registration No. (Attorney/Agent) 44,238 Telephone (617) 439-255					(617) 439-2550					
Signature The Man	Date April 30, 2001					April 30, 2001					
								•			

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EL327516621US, in an envelope addressed to: Box Patent Application, Assistant Commissioner for Patents, Washington, DC 20231, on the date shown

Dated: April 30, 2001 Signature:

(Lisa J. Michaud)